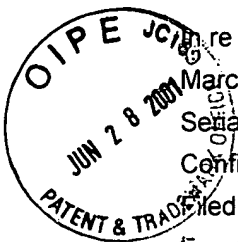


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Re Application of
Marco M. HURTADO et al.
Serial No. 09/711,431
Confirmation No. (not yet assigned)
Filed: November 13, 2000

: Atty. Docket: SOM920000014US1
: Group Art Unit: 2131

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Assistant Commissioner for Patents
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SIR:

The attached Form PTO-1449 provides a listing of information which may be relevant to the subject application. Copies of the following cited references were filed in the parent application serial no. 09/376,102 on the following dates: AA1-AG5 - filed September 7, 1999; AG6 - filed October 11, 1999; AG7-AG8 - filed on June 30, 2000; and AG9-AH2 - filed on January 3, 2001. Copies of references AH3-AH4 are attached. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

- ☒ XX 37 CFR 1.97(b) - No Fee.
☐ 37 CFR 1.97(c) - No Fee, with Certification.
☐ 37 CFR 1.97(c) - Fee.
☐ 37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge any required fees under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0459.

Respectfully submitted,

Date:

6/26/01

By:

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Registration No. 37,333

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Form PTO-1449	U.S. Dept. of Commerce Patent & Trademark Office	Priority Docket: SOM920000014US1	Serial No. 09/711,431
List of Documents Cited by Applicant (Use several sheets if necessary)	Applicant: Marco M. HURTADO, et al.		
	Filing Date: November 13, 2000		Group No.: 2131

U.S. PATENT DOCUMENTS

Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Date, if applicable
	AA1	4,200,770	April 29, 1980	Hellman, et al.			
	AA2	4,218,582	August 19, 1980	Hellman, et al.			
	AA3	4,405,829	September 20, 1983	Rivest, et al.			
	AA4	4,424,414	January 3, 1984	Hellman, et al.			
	AA5	4,995,082	February 19, 1991	Schnorr			
	AA6	5,347,580	September 13, 1994	Molva, et al.			
	AA7	5,497,421	March 5, 1996	Kaufman, et al.			
	AA8	5,509,071	April 16, 1996	Petrie, Jr., et al.			
	AA9	5,369,705	November 29, 1994	Bird, et al.			
	AB1	5,420,927	May 30, 1995	Micali			
	AB2	5,604,804	February 18, 1997	Micali			
	AB3	5,606,617	February 25, 1997	Brands			
	AB4	5,214,702	May 25, 1993	Fischer			
	AB5	4,868,877	September 19, 1989	Fischer			
	AB6	5,005,200	April 2, 1991	Fischer			
	AB7	5,371,794	December 6, 1994	Diffie, et al.			
	AB8	5,261,002	November 9, 1993	Perlman, et al.			
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	AC2	5,796,841	August 18, 1998	Cordery, et al.			
	AC3	5,224,163	June 29, 1993	Gasser, et al.			
	AC4	5,315,658	May 24, 1994	Micali			
	AC5	5,666,420	September 9, 1997	Micali			

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Form PTO-1449	U.S. Dept. of Commerce Patent & Trademark Office	Atty. Docket: SOM920000017US1	Serial No. 09/711,596
List of Documents Cited by Applicant (Us several sheets if necessary)	Applicant: Richard L. SPAGNA, et al.		
Filing Date: November 13, 2000		Group No.: 2161	

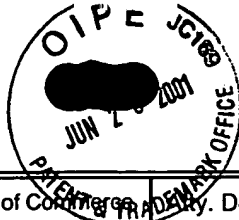
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Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Date, if applicable
	AC6	5,519,778	May 21, 1996	Leighton, et al.			
	AC7	4,879,747	November 7, 1989	Leighton, et al.			
	AC8	5,537,475	July 16, 1996	Micali			
	AC9	4,803,725	February 7, 1989	Horne, et al.			
	AD1	4,926,479	May 15, 1990	Goldwasser, et al.			
	AD2	4,878,246	October 31, 1989	Pastor, et al.			
	AD3	4,944,006	July 24, 1990	Citta, et al.			
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	AD5	4,782,529	November 1, 1988	Shima			
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	AD8	5,224,166	June 29, 1993	Hartman, Jr.			
	AD9	4,757,534	July 12, 1988	Matyas, et al.			
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	AE6	5,412,717	May 2, 1995	Fischer			
	AE7	4,272,810	June 9, 1981	Gates, et al.			
	AE8	4,463,387	July 31, 1984	Hashimoto, et al.			
	AE9	4,825,306	April 25, 1989	Robers			
	AF1	4,868,687	September 19, 1989	Penn, et al.			

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Form PTO-1449	U.S. Dept. of Commerce Patent & Trademark Office	U.S. Patent & Trademark Office Docket: SOM920000014US1	Serial No. 09/711,431
List of Documents Cited by Applicant (Use several sheets if necessary)		Applicant: Marco M. HURTADO, et al.	
		Filing Date: November 13, 2000	Group: 2131

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Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Date, if applicable
	AF2	5,130,792	July 14, 1992	Tindell, et al.			
	AF3	5,260,788	November 9, 1993	Takano, et al.			
	AF4	5,636,139	June 3, 1997	McLaughlin, et al.			
	AF5	5,581,479	December 3, 1996	McLaughlin, et al.			
	AF6	5,675,734	October 7, 1997	Hair			
	AF7	5,355,302	November 11, 1994	Martin, et al.			
	AF8	5,557,541	September 17, 1996	Schulhof, et al.			
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	AG1	5,710,887	January 20, 1998	Chelliah, et al.			

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	AG2	J. Linn, "Privacy Enhancement for Internet Electronic Mail: Part I: Message Encryption and Authentication Procedures," RFC 1421, February, 1993, pgs. 1-37.
	AG3	S. Kent, "Privacy Enhancement for Internet Electronic Mail: Part II: Certificate-Based Key Management," RFC 1422, February, 1993, pgs. 1-28.
	AG4	D. Balenson, "Privacy Enhancement for Internet Electronic Mail: Part III: Algorithms, Modes, and Identifiers," RFC 1423, February, 1993, pgs. 1-13.
	AG5	B. Kaliski, "Privacy Enhancement for Internet Electronic Mail: Part IV: Key Certification and Related Services," RFC 1424, February, 1993, pgs. 1-8.

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Atty. Docket: SOM920000014US1

Serial No. 09/711,431

List of Documents

Cited by Applicant

(Use several sheets if necessary)

Applicant: Marco M. HURTADO, et al.

Filing Date: November 13, 2000

Group: 2131

U.S. PATENT DOCUMENTS

Ex'r's In'l		Document Number	Date	Name	Class	Sub- class	Filing Dat , if applicable
	AG6	5,892,900	April 6, 1999	Ginter, et al.			

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		Document Number	Date	Country	Class	Sub- class	Transl'n Y s/No
	AG7	WO 97/43717	November 20, 1997	PCT			
	AG8	WO 98/13970	April 2, 1998	PCT			
	AG9	EP0704785A2	April 3, 1996	Europe			
	AH1	WO 96/41445	December 19, 1996	PCT			
	AH2	WO 98/39878	September 11, 1998	PCT			

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AH3	Kaplan, Marc A., "IBM Cryptolopes™, SuperDistribution and Digital Rights Management, copyrighted by IBM Corporation, December 30, 1996 (version 1.3.0).
AH4	Benton, Peter M., "Packaging Information for Superdistribution," IBM Research Magazine, 1996 Issue.

Examiner:

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